

AUDIT + COMPLIANCE

Audit Analytics is an independent research provider of audit, regulatory and disclosure intelligence. Through easy-to-use online research, Audit Analytics enables the accounting, investment, insurance, legal, regulatory, marketing, corporate finance, and academic communities to track, analyze, benchmark and understand public company disclosure issues and trends.

Data sets available in Audit Analytics track in detail the issues related to audit, compliance, governance, corporate actions, and federal litigation for all SEC registrants (including foreign and Canadian filers).

Audit Analytics subscribers include:

- 18 of the top 20 public accounting firms
- 4 of the top 5 errors and omissions insurers
- Over 160 universities
- Key federal and regulatory bodies

DATA COVERAGE

Audit Analytics Audit + Compliance module addresses core informational demands for tracking, analyzing, and understanding audit, regulatory and disclosure issues and trends. All disclosure issues are individually reviewed and categorized with Audit Analytics' proprietary issues taxonomy for normalization.

- Auditor Engagements
 - Who audits every public company – updated daily
- Auditor Changes
 - Nearly 20,000 auditor changes by public companies since 2000
- Audit Fees
 - More than 130,000 observations of audit fee data culled from public disclosures since 2001
- Audit Opinions
 - Auditor reports on financial statements disclosed since 2000, including going concern qualifications
- Benefit Plan Opinions
 - Auditor reports found in 11-K filings
- D&O Changes
 - Director and officer changes – updated daily
- Disclosure Controls
 - SOX 302 assessment analyzed since 2002
- Internal Controls
 - SOX 404 assessments analyzed since 2004
- Late Filers
 - Late filing notifications since 2000
- Restatements
 - Financial restatement issues disclosed since 2000

AUDIT ANALYTICS IN ACTION

Audit Analytics delivers research in an easy-to-use online interface for accessing audit, regulatory and disclosure intelligence on public companies.

The screenshot displays the Audit Analytics web application interface. On the left, there is a navigation menu with options like 'Home', 'Products', 'About Us', and 'Contact'. The main content area is divided into several sections:

- Company Profile:** Shows details for ASPEN TECHNOLOGY INC. (NASDAQ: AXP), including its ticker, IRS number, fiscal year end, business address, and market cap.
- Restatements Table:** A detailed table listing financial restatements. The table has columns for 'Restatement', 'Auditor', 'Filer', 'Company Name', 'State', 'Date', 'Effect', 'Period Began', 'Period End', 'Market Cap', 'Revenue', and 'EPS'. It lists various restatements for companies like ASPEN TECHNOLOGY INC., ASPEN BIODISCOVERY INC., and ASPEN PHARMACEUTICALS INC.
- Advanced Search:** A search bar with filters for 'Company', 'Filer', 'Auditor', 'State', 'Date', 'Effect', 'Period Began', 'Period End', 'Market Cap', 'Revenue', and 'EPS'.

WHO BENEFITS

The Audit Analytics online service addresses core informational demands for a variety of professional research needs including market intelligence, due diligence, compliance monitoring and disclosure trend analysis.

- Accounting, Consulting and Legal Firms
 - Market Share Analysis
 - Business Development
- Investment and Fund Managers
 - Portfolio Screening
 - Quantitative Data Modeling
- Insurance Providers
 - Due Diligence
 - Informed Underwriting
- Corporate Finance Professionals
 - Annual Filing Review
 - Auditor Fee Benchmarking
- Universities & Academics
 - Publishing Research
 - Accounting Trends Awareness

IN THE WORDS OF A USER

"If one is looking to perform any kind of risk assessment – whether SOX Section 404 Entity Level, AS 5, or SAS 103 to 109 or other – one cannot do it expertly, comprehensively, or justifiably without access to this data set. Using this data set will save you time, money and anxiety. It is the only data set of its kind in the world."

Bob Benoit, CPA
Founder, Lord & Benoit, LLP
Section 404 Compliance Consultants
Member, COSO Small Business
Advisory Group
Member, AICPA Peer Review Group

COMPREHENSIVE RESEARCH

Audit Analytics comprehensive research is used daily around the world for market intelligence, due diligence, compliance monitoring benchmarking, business development and trend analysis. All disclosure issues are individually reviewed and categorized with Audit Analytics' proprietary issues taxonomy.

Corporate + Legal

Access and research audit and disclosure intelligence on specific SEC and legal actions within Audit Analytics' proprietary SEC Comment Letters and Litigation databases. The Corporate + Legal service is a critical tool for enhancing new and existing accounting and securities legal work, advisory services.

SEC Comment Letters

Research and categorize discourse related to specific audit and disclosure issues between the SEC and public companies.

Other Independent Audit (OIA)

Expanding our view of the national audit market, Audit Analytics now tracks who audits the 4,800+ Broker Dealers. Additionally, our new non-profit data-base is composed of over 40,000 federally funded audited institutions and can be easily broken out for your business intelligence needs.

Peer Reporter

Benchmark and track key audit and compliance issues within defined peer groups on a daily basis. Comprehensive and timely custom reports based on issue type are easily generated with the Peer Reporter application.

Data Feeds

Integrate Audit Analytics data with internal information systems like CRM, compliance and risk management systems. Audit Analytics data feeds are designed for easy development of custom applications and dynamic reports.

Custom Research

Apply Audit Analytics expertise to better understand specific public company disclosure trends and identify new business opportunities. Our analysts and development teams are available to help with custom research projects and expert analysis.

FIND OUT MORE

For complete information about our audit, regulatory and disclosure intelligence, contact us today to schedule a personalized Audit Analytics demonstration.

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